

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Takashi NOMA et al.

Serial No.: **New Application**

Filing Date: April 21, 2004

For: SEMICONDUCTOR DEVICE AND  
MANUFACTURING METHOD  
THEREOF

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the document listed on the attached Form PTO-1449. A copy of the document is also submitted herewith. The Examiner is requested to make this document of record.

This Information Disclosure Statement is submitted:

☒ With the application; accordingly, no fee or separate requirements are required.

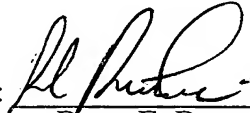
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **492322017700**.

Dated: April 21, 2004

Respectfully submitted,

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|  |             |            |              |  |       |  |                               |
|--|-------------|------------|--------------|--|-------|--|-------------------------------|
| <b>Form PTO-1449</b><br><br><b>INFORMATION DISCLOSURE CITATION<br/>IN AN APPLICATION</b><br><br><i>(Use several sheets if necessary)</i>   |             |            |              | Docket Number: 492322017700  |       | Application Number:<br>NEW APPLICATION |                               |
|  |             |            |              | Applicants<br><br><div style="text-align: center;"><i>Takashi NOMA</i></div> |       |  |                               |
|  |             |            |              | Filing Date: April 21, 2004  |       | Group Art Unit: Not Yet Assigned       |                               |
|  |             |            |              | Mailing Date: April 21, 2004   |       |  |                               |
| <b>U.S. PATENT DOCUMENTS</b>   |             |            |              |  |       |  |                               |
| Examiner<br>Initials   | Ref.<br>No. | Date       | Document No. | Name   | Class | Subclass                               | Filing Date If<br>Appropriate |
|  | 1.          |            |              |  |       |  |                               |
| <b>FOREIGN PATENT DOCUMENTS</b>  |             |            |              |  |       |  |                               |
| Examiner<br>Initials   | Ref.<br>No. | Date       | Document No. | Country  | Class | Subclass                               | Translation<br>YES    NO      |
|  | 2.          | 09/05/1997 | 09-232503    | Japan  |       |  |                               |
|  | 3.          |            |              |  |       |  |                               |
|  | 4.          |            |              |  |       |  |                               |
|  | 5.          |            |              |  |       |  |                               |
| <b>OTHER DOCUMENTS</b> <span style="float: right;"><i>(including author, title, Date, Pertinent Pages, Etc.)</i></span>  |             |            |              |  |       |  |                               |
| Examiner<br>Initials   | Ref.<br>No. | Title      |              |  |       |  |                               |
|  | 3.          |            |              |  |       |  |                               |
|  |             |            |              |  |       |  |                               |
| <b>EXAMINER:</b>   |             |            |              | <b>DATE CONSIDERED:</b>  |       |  |                               |
| EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant. |             |            |              |  |       |  |                               |